

FIG. 1

226

| HAVE | QTY | VALUE | WANT | VALUE |
|------|-----|-------|------|-------|
| DD | 45 | 20 | AET | 90 |

200

216

| TRANSACTION | HAVE | QTY | VALUE | WANT | VALUE |
|-------------|-------|-----|-------|-------|----------|
| 2221 | AET | 100 | MKT | OMX | MKT = 29 |
| 4890 | AET* | 95 | 85 | DD* | 16 |
| 6034 | AET* | 100 | 90 | DD | MKT |
| 5564 | DD | 300 | 17 | AET | 74 |
| 9021 | DD | 110 | MKT | RHAT | MKT |
| 3752 | OMX* | 30 | 41 | RHAT* | 25 |
| 1657 | OMX* | 35 | 45 | DD | 20 |
| 3360 | OMX* | 40 | MKT | RHAT | 18 |
| 8993 | RHAT | 50 | 19 | AET | MKT |
| 0077 | RHAT* | 20 | 18 | AET | MKT |
| 1779 | RHAT | 15 | MKT | OMX | 29 |

FIG. 2

300 ↓

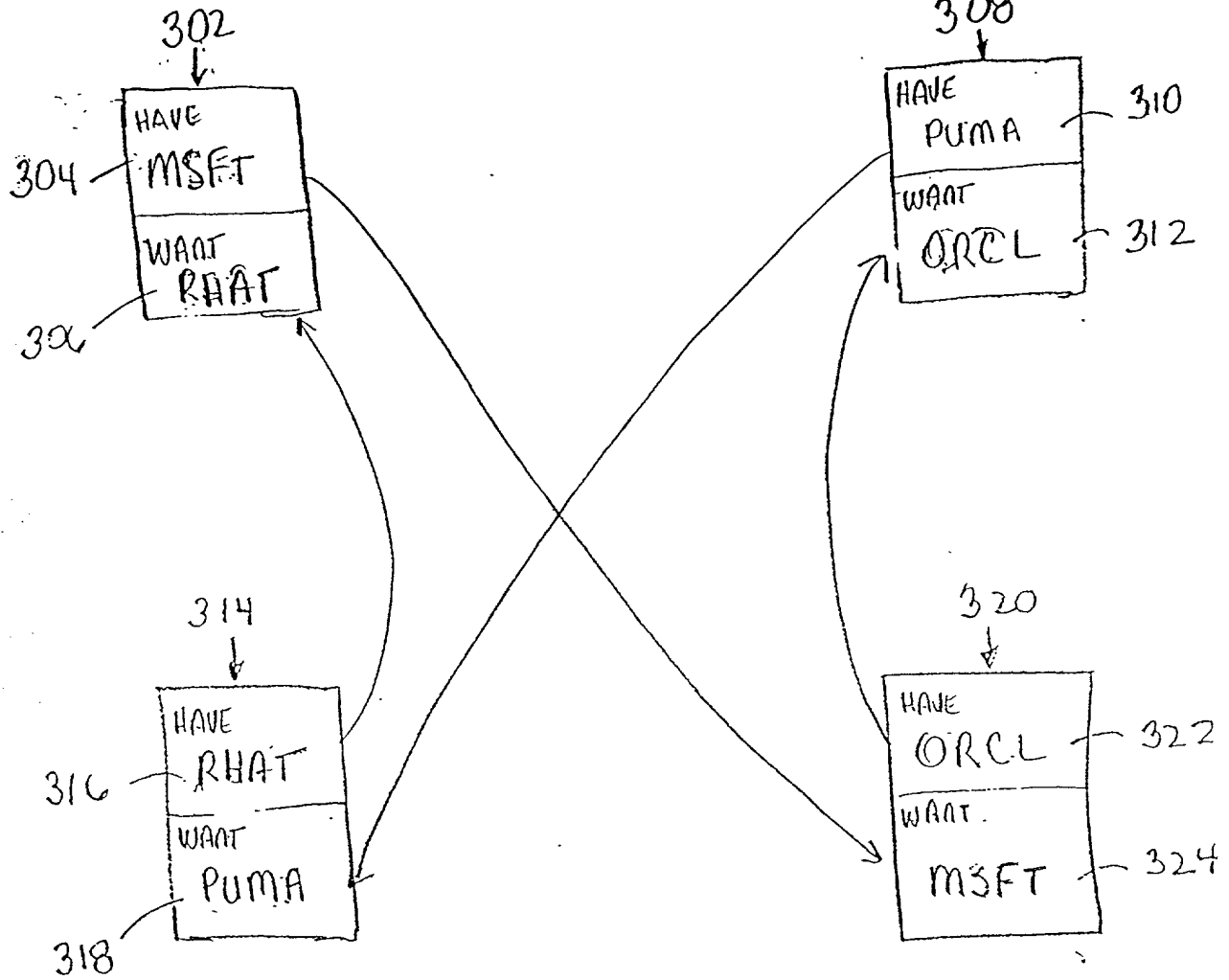


FIG. 3

09921534-030301

400

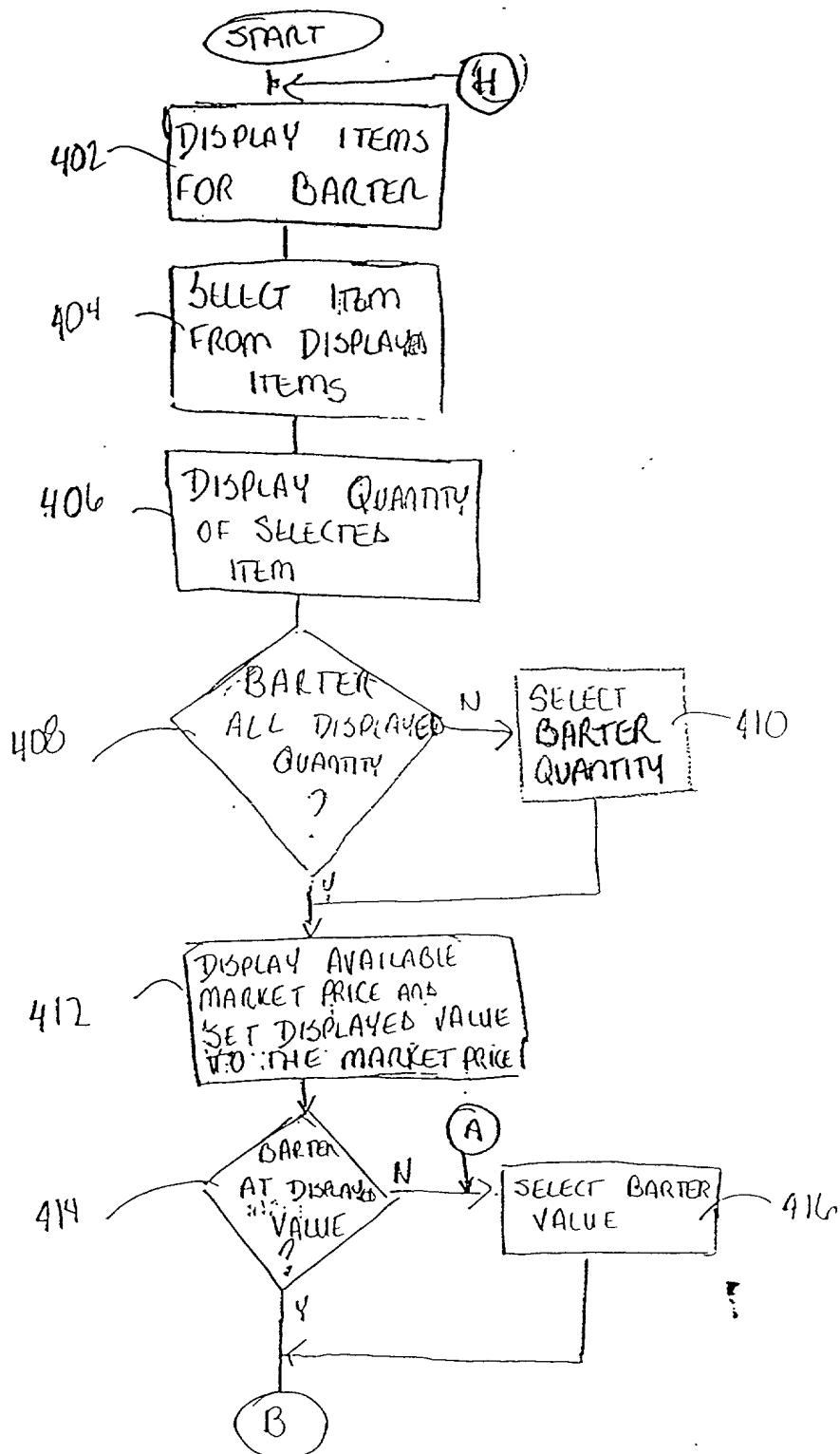


FIG. 4A

(B)

418 DISPLAY TOTAL
BARTER AMOUNT
AND PERCENTAGE
OF TRADING PRICE

420 CHANGE
AMOUNT?

(A)

422 DISPLAY FEE
AMOUNT

424 SELECT TIME
FRAME

426 SELECT ~~QUANTITY~~
SPECIFIC
OPTIONS

428 SELECT DIVIDEND
REINVESTMENT
OPTION

429 SELECT TYPE OF BARTER

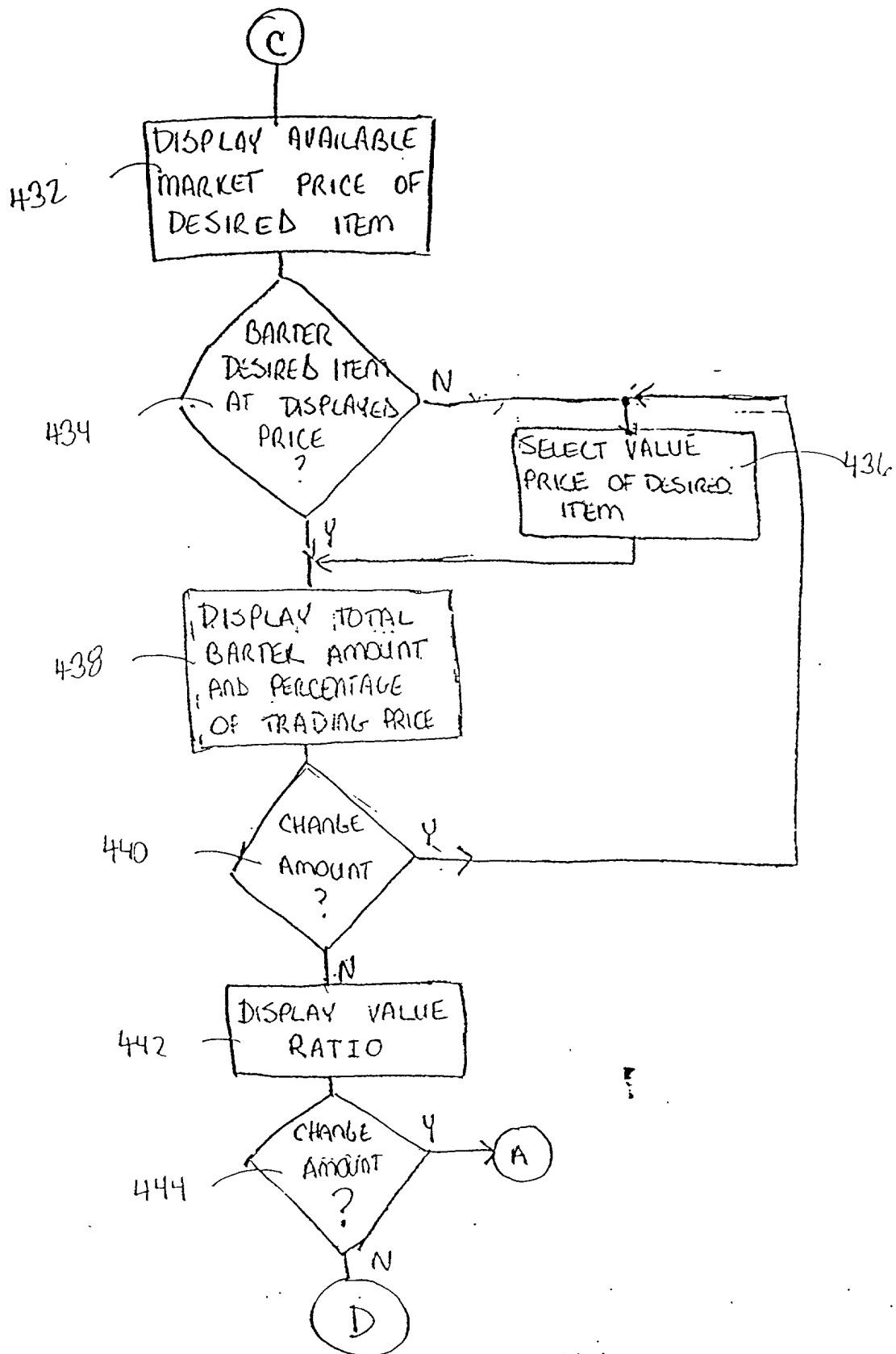
431 SELECT DESIRED
BARTER ITEM
OR BARTER
FOR ; BARTER DOLLARS

430 DISPLAY MATCHES
BASED ON BARTER'S
BARTER ITEM ONLY

(C)

FIG. 4B

400



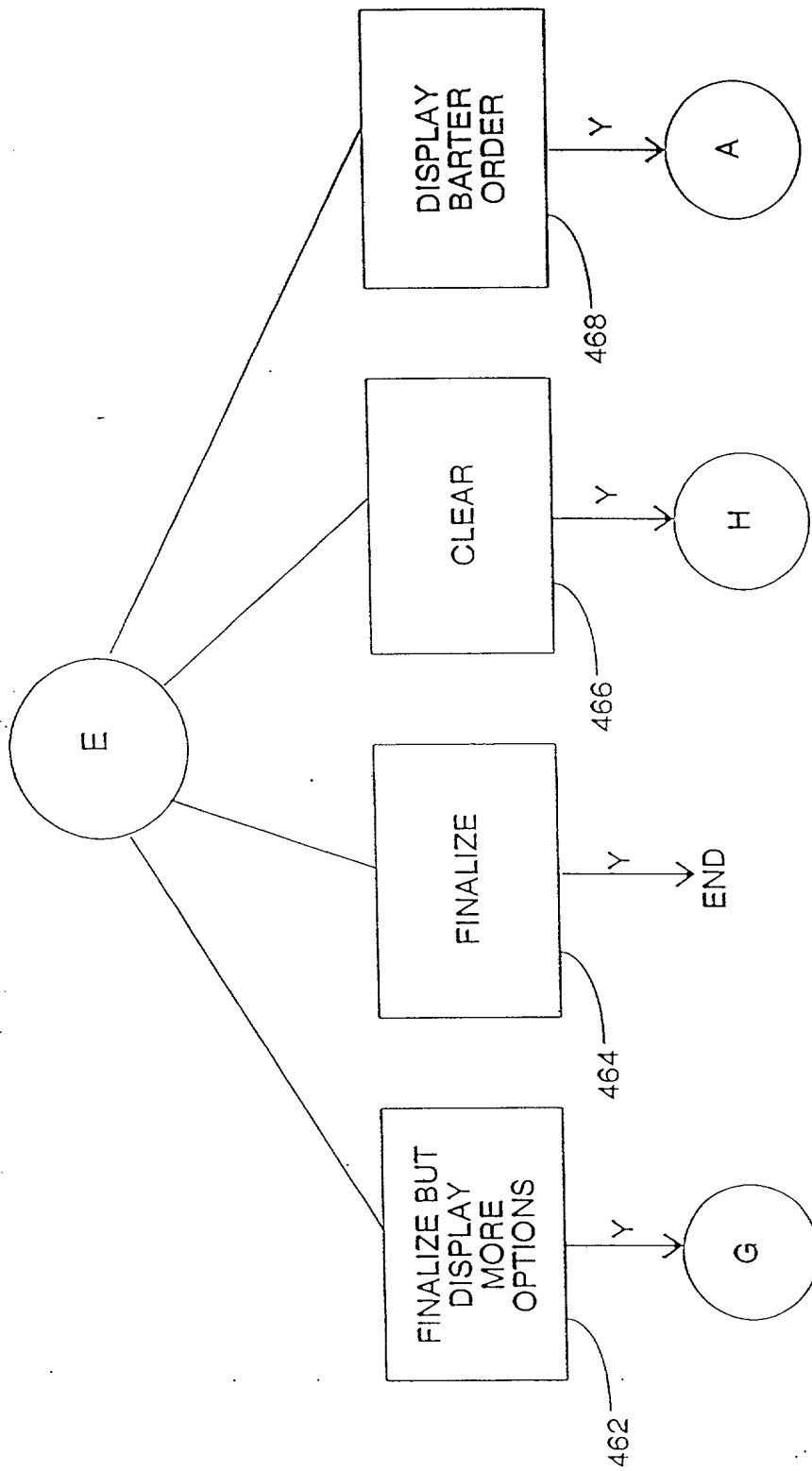


FIGURE 4E

500

BARTER SECURITY

ITEMS

| | | |
|---------------|-------------------------|-----|
| DD | | ▼ |
| DD | 600 | |
| PFE* | 01/05/2001 - 01/05/2001 | 100 |
| PUMA | | 250 |
| DD* | 11/10/2000 - -/-/- | 200 |
| CASH\$ | | |
| WEB BARTER \$ | | |

ITEMS BY CLASS

| | |
|--------------------|---|
| STOCK SYMBOL | ▼ |
| HIMMELSTEIN | ▼ |
| OPTION SYMBOL | ▼ |
| CASH XXXX | ▼ |
| WEB BARTER DOLLARS | ▼ |

Quantity

600

▲▼

506 MARKET DATA FOR DD
Current stock trading price as of 02/15/1999 at time 10:45 am is \$ 75.90

FIGURE 5A

508 Value \$ \updownarrow

per share,
web barter dollars,
cash

OR

510 If stock or Himmelstein Option,
☐ barter at current stock trading price

511

\$ \pm

OR

% \pm

512 ☐ plus
514 Value

\$ \updownarrow

OR

516 Percent

% \updownarrow

518 ☐ minus
Value

\$ \updownarrow

OR

519

Percent

517

519

% \updownarrow

520 \rightarrow Total anticipated barter amount: _____

Note: Your value price is "X" percentage/dollar amount less/more than (or equal to) stock trading price.

522

523

FIGURE 5B

524 FEE AMOUNT per share is anticipated to be _____, if direct barter (total anticipated fee _____);

526 FEE AMOUNT per share is anticipated to be _____, if web barter (total anticipated fee _____);

528 → Timing

| |
|-------------------------|
| day only ▼ |
| day only |
| good until canceled |
| fill or kill |
| immediate or cancel |
| only view current posts |

530 Special Conditions (optional)

☐ minimum quantity

☐ do not reduce

☐ all or none

☐ deferred settlement date before _____ date after _____

531 ☐ Other security, if any, to be provided at settlement _____

FIGURE 5C

532 → Dividend Reinvestment (for new stock)

- ☐ yes, new stock must have a dividend reinvestment program
- ☐ no, new stock must not have had a dividend reinvestment program
- ☐ accept new stock with or without a dividend reinvestment program

534 → Type of Barter

- ☐ direct barter only (fee amount anticipated to be _____)
- ☐ if direct barter not available, then barter with web site (fee amount anticipated to be _____)

Desired Item

Security AET ▼

Industry Health Care ▼

535 →

536

- ☐ HIMMELSTEIN OPTION FOR STOCK
- 537 → ☐ STOCK
- ☐ Cash
- ☐ WEB BARTER DOLLARS

538 → Current stock trading price as of 02/15/1999 at time 10:45 am is \$47.50.

FIGURE 5D

| Table 1. Demographic characteristics of the study population | |
|--|-----------------|
| Age (years) | 50.0 ± 10.0 |
| Gender | |
| Male | 50.0% |
| Female | 50.0% |
| Education (years) | 12.0 ± 2.0 |
| Marital status | |
| Married | 80.0% |
| Single | 20.0% |
| Occupation | |
| Professional | 30.0% |
| Managerial | 20.0% |
| Technical | 10.0% |
| Skilled | 20.0% |
| Unskilled | 20.0% |
| Income (USD/month) | 1,500.0 ± 500.0 |
| Health status | |
| Good | 70.0% |
| Fair | 20.0% |
| Poor | 10.0% |

541

\$

% ▲
▼

\$ ▲
▼

% ▲
▼

7

542→ Your total barter amount is (anticipated to be) _____ for new stock

Note: your value price is "X" percentage/dollar amount less/more than current
stock trading price.

544→ Note: Ratio of your stock to stock trading price divided by new stock to stock trading
price is "XX.XX"

546→ System will re-list order and list terms and conditions and ask if you want to continue
must click on the continue/agree icon

548

549

FIGURE 5F

TO AVAILABLE BARTER ORDERS

Note: Click on Order # if choose to do barter

IF MORE THAN ONE SELECTION, CLICK ON SEQUENTIAL PREFERENCE

610

| Order # | Symbol | Price trading price | Ratio to stock | Value Ratio | # of Shares | Barter Amount | Price Fluctuate with stock trading Price | Special Conditions | Timing | Divid |
|------------|--------|---------------------|----------------|-------------|-------------|---------------|--|--|--------|-------|
| 1) 1000526 | IBM | 115 | 1.00 | 1.0200 | 120 | \$13,800.00 | Yes | None | 1 | Yes |
| 2) 9843566 | IBM | 115 ^{1/16} | .9995 | 1.0194 | 40 | \$4,602.50 | No | Minimum 40 | 2 | No |
| 3) 1043442 | IBM | 115 ^{1/16} | .9941 | 1.0139 | 100 | \$11,568.75 | No | After 2/01/00 Before Indefinite Security at Settlement = 0 | 1 | Yes |

4)

620

| ORDER# | # OF SHARES GIVING | PRICE OF GIVING ITEM | # OF SHARES RECEIVING | PRICE OF RECEIVING ITEM | BARTER AMOUNT |
|--------|--------------------|----------------------|-----------------------|-------------------------|---------------|
| 1) | | | | | |
| 2) | | | | | |
| 3) | | | | | |
| 4) | | | | | |
| 5) | | | | | |
| 6) | | | | | |

622

624

| | |
|---------------------------|--|
| AMOUNT OF BARTER LEFT | TIME REMAINING |
| RESIDUAL AMOUNT LEFT OVER | <input type="checkbox"/> HOLD IN ESCROW <input type="checkbox"/> DONATE IT <input type="checkbox"/> PURCHASE OTHER STOCK |

626

630

632

633

634

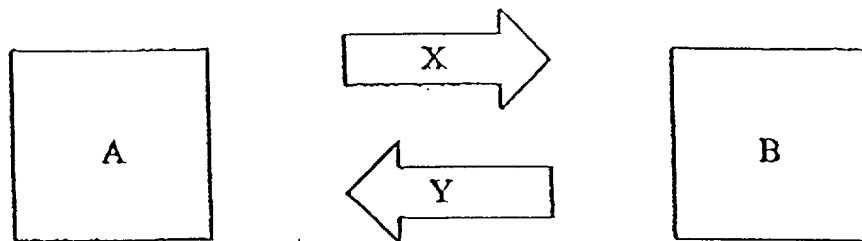
CLEAR

CHANGE BARTER ORDER

FINALIZE TRANSACTION

FINALIZE TRANSACTION BUT DISPLAY MORE BARTER OPTIONS

FIG. 6



F16.7A

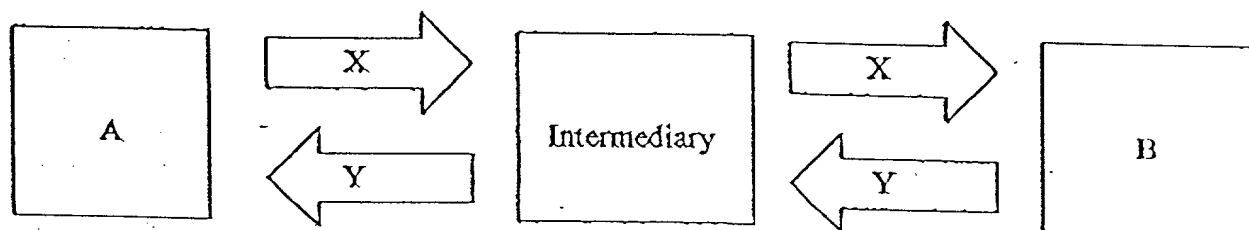
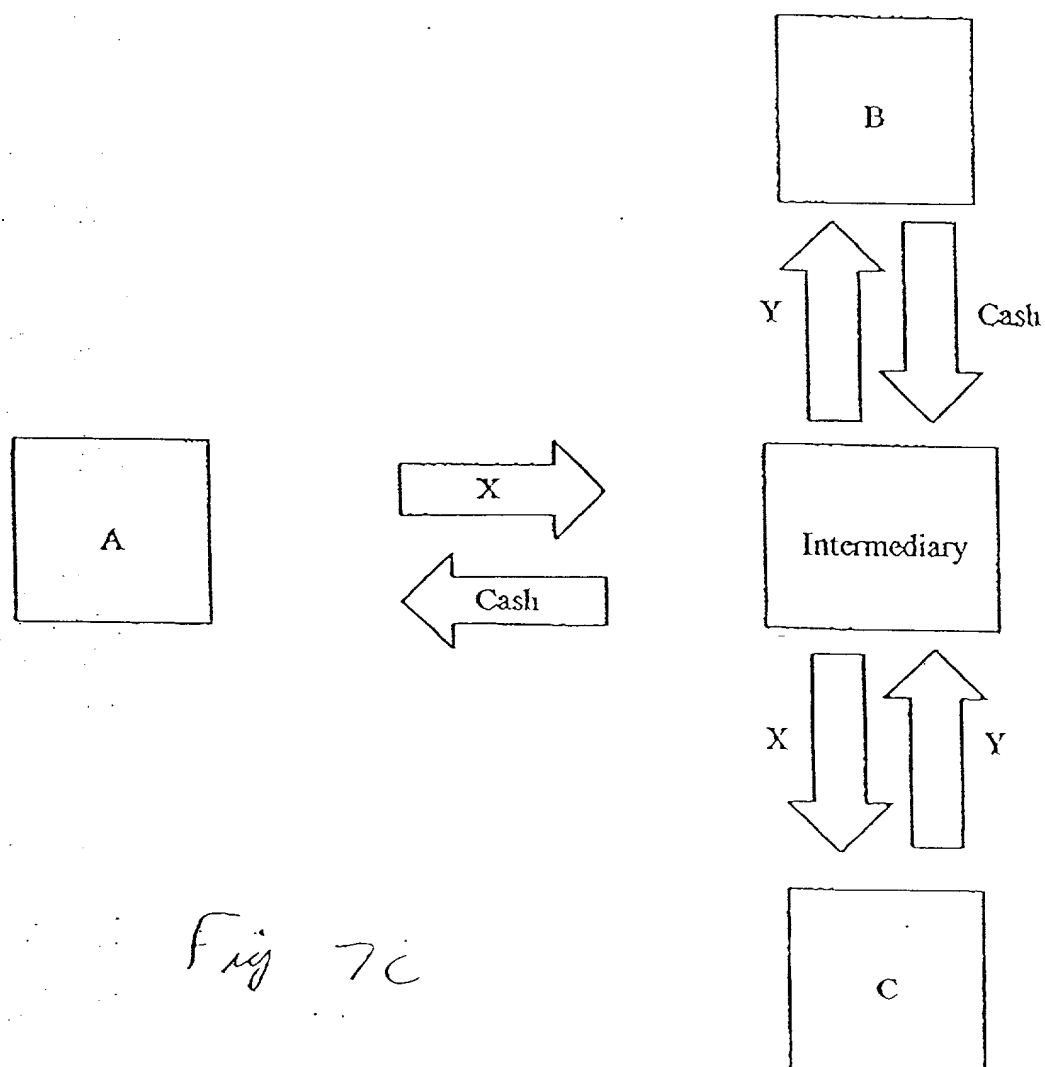


Fig. 7B



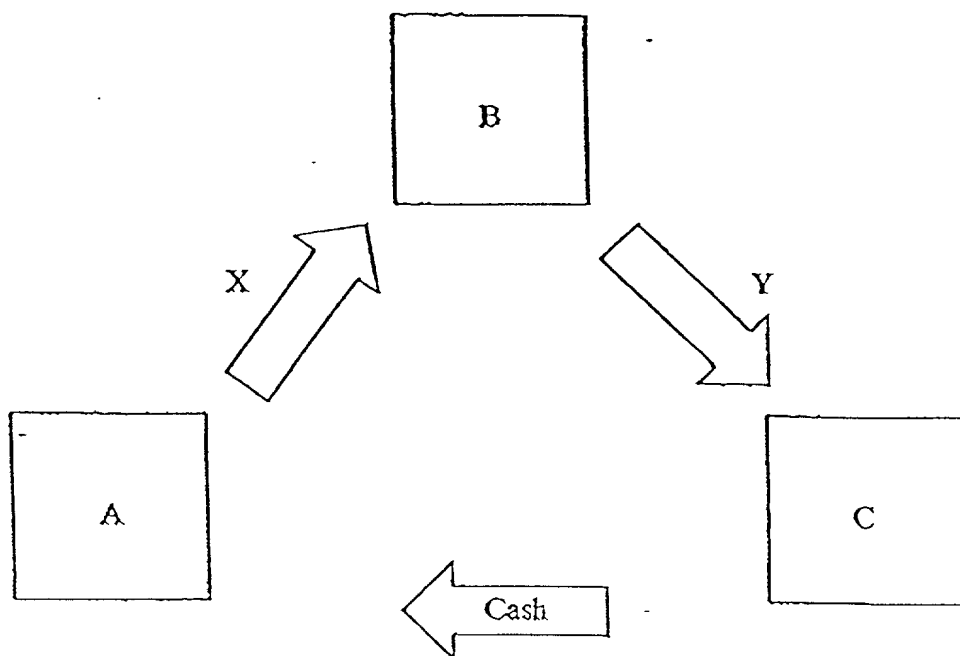


Fig. 7D

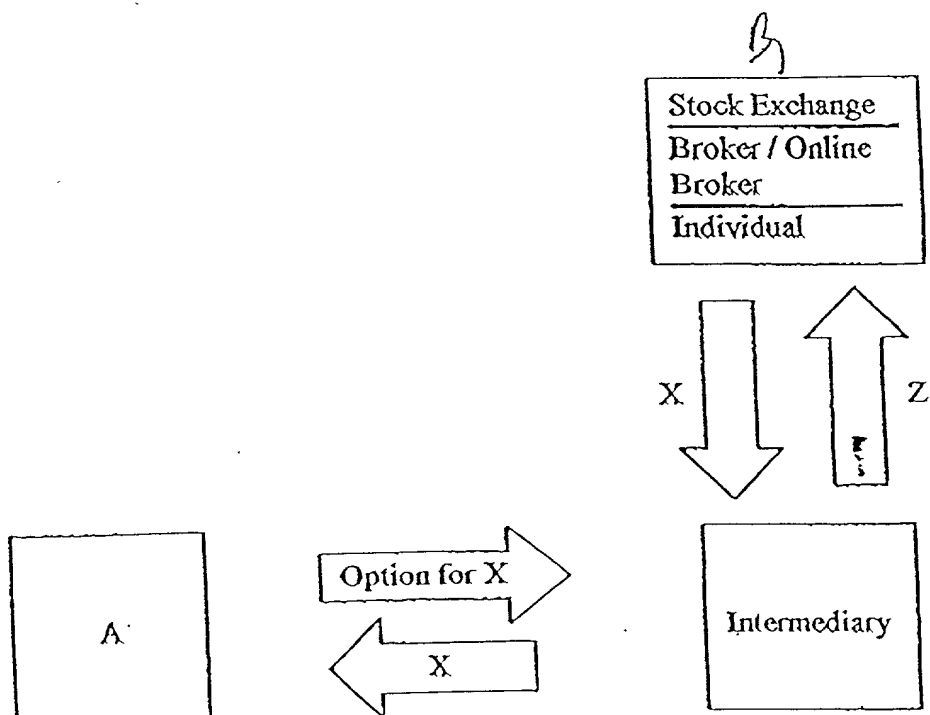


FIG. 7E

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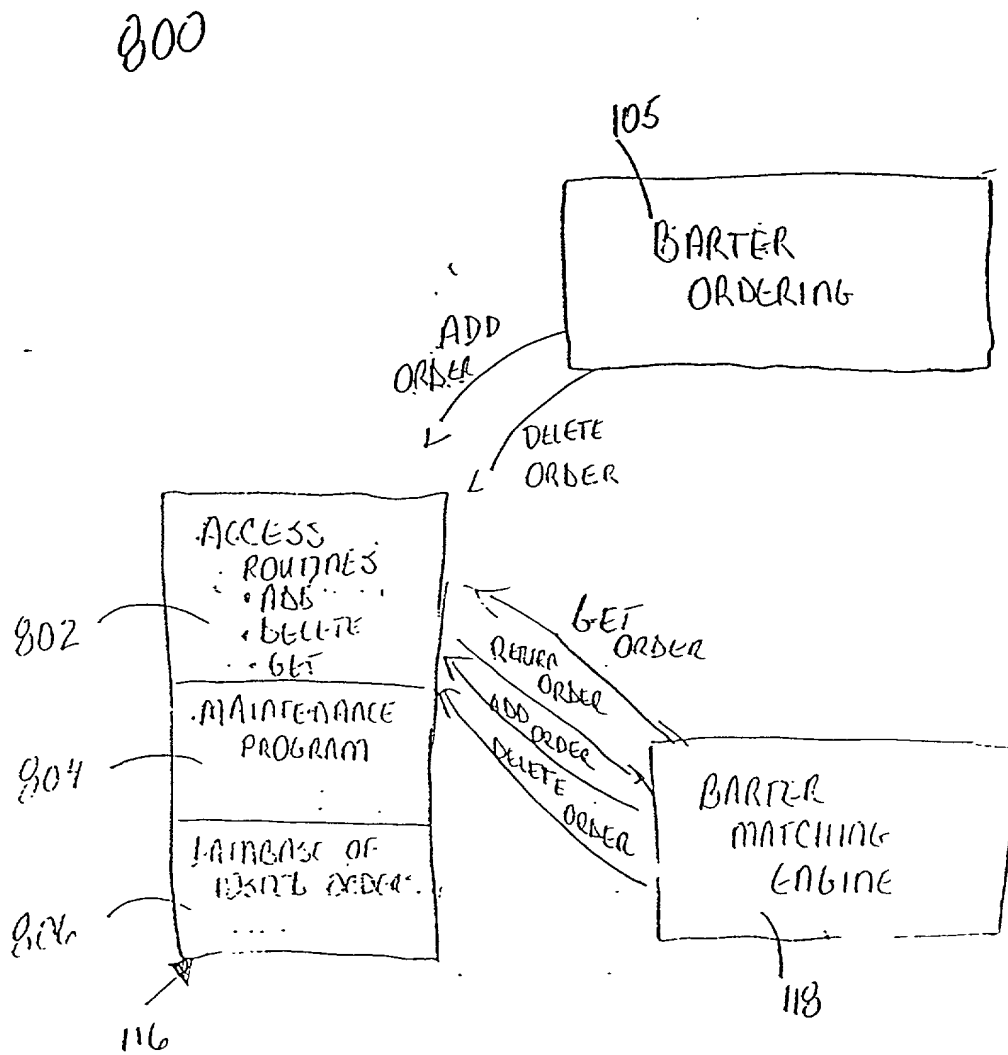


FIG. 8

| Class/ Type | Item/ Identification | Amount | Market Value | Bid / Strike Price | Expiration Settlement/Date | Barter Value | Interest Rate/ Current Yield | Due/Maturity Date | Other | Barter/Settlement Date Open/Close |
|----------------|-------------------------|-----------------------------------|-----------------|-----------------------|-------------------------------|---|---------------------------------|----------------------|---|---|
| 1 | Stock | Symbol or Name | \$ | N/A | N/A | \$ or specific relationship to market value | N/A | N/A | Dividend? | MMDDYY MMDDYY |
| 2 | Currency | US or Foreign | US\$ | N/A | N/A | US\$ or specific relationship to market value | N/A | N/A | Par Discount Premium | MMDDYY MMDDYY |
| 3 | Bonds | Issuer | \$ | N/A | N/A | \$ or specific relationship to market value | Y% interest rate | MMDDYY | N/A | MMDDYY MMDDYY |
| 4 | Options | Z | \$ | +/- \$ | MMDDYY | \$ or specific relationship to market value | N/A | N/A | N/A | MMDDYY MMDDYY |
| 5 | Government Bond | Treasury Notes, Bonds or Bills | \$ | +/- \$ | N/A | \$ or specific relationship to market value | Y% yield rate | MMDDYY | N/A | MMDDYY MMDDYY |
| 6 | Futures | Symbol or Name | \$ | N/A | MMDDYY | \$ or specific relationship to market value | N/A | N/A | N/A | MMDDYY MMDDYY |
| 7 | Annuities | Issuer | \$ | N/A | N/A | \$ or specific relationship to market value | Y% interest rate | MMDDYY | Fixed or Variable Interest Rate | MMDDYY MMDDYY |
| 8 | CD's | Issuer | \$ | N/A | N/A | \$ or specific relationship to market value | Y% interest rate | MMDDYY | Compound Interest? | MMDDYY MMDDYY |
| 9 | Web Barter Dollar | IOU | \$ | +/- \$ | MMDDYY | \$ or specific relationship to market value | Y% interest rate | MMDDYY | Dividend? Par, Discount, Premium Fixed or Variable Interest Compound Interest? | MMDDYY MMDDYY |

Y = Interest rate or current yield in percentage

N = Quantity of options

Z = Any security

X = Foreign or domestic currency

Fig. 9A

| Class/ Type | Item/ Identification | Amount | Market Value | Bid/Strike Price | Expiration Settlement Date | Barter Value | Interest Rate/ Current Yield | Due/Maturity Date | Other | Barter Settlement Date Open/Close |
|----------------|-------------------------|----------------------------|-----------------|---------------------|-------------------------------|-----------------|---------------------------------|----------------------|---|--------------------------------------|
| 1 | Stock | 100 Shares | \$ 10,000.00 | N/A | N/A | \$ 10,750.00 | N/A | N/A | Dividend? | 91-FEB-97-903-01 |
| 2 | Currency | 5,000 CNS | \$ 3,453.04 | N/A | N/A | \$ 3,448.28 | N/A | N/A | N/A | 92-JAN-0002-JAN-00 |
| 3 | Bonds | 25,000.00 | \$ 24,750.00 | N/A | N/A | \$ 24,990.00 | 8.50% | 15-Mar-15 | Put, Dividend, Premium | 20-DEC-8723-98C-04 |
| 4 | Options | 12 Options | \$ 122,400.00 | \$ 102.00 | 30-Nov-99 | \$ 12,840.00 | N/A | N/A | N/A | 4-OCT-99/4-NOV-00 |
| 5 | T-Bills | US Government \$ 10,000.00 | \$ 10,000.00 | \$ 101.00 | N/A | \$ 9,900.00 | 4.91% | 15-Jun-00 | N/A | 09-REV-15-DEC-99 |
| 6 | Futures | Gold 10 troy oz | \$ 3,157.50 | N/A | 30-Nov-99 | \$ 3,000.00 | N/A | N/A | N/A | 09-REV-15-DEC-99 |
| 7 | Annuity | TransAmerica \$ 100,000.00 | \$ 100,000.00 | N/A | N/A | \$ 105,000.00 | 10.50% | 10-Jun-06 | Fixed or Variable Interest? | 92-JAN-02/23-JAN-02 |
| 8 | CD's | SunWest Bank \$ 50,000.00 | \$ 50,000.00 | N/A | N/A | \$ 49,000.00 | 4.14% | 10-May-00 | Compound Interest? | 12-DEC-99/12-DEC-99 |
| 9 | Web Barter Dollars | IOU \$ 25,000.00 | \$ 25,000.00 | A | B | \$ 24,500.00 | C | D | Put, Dividend, Premium Fixed or Variable Interest? | 09-REV-15-DEC-99 |

D = Could have Maturity Date if Web Barter \$ are from Bonds, Government Bonds, Annuities or CD's depending on terms and conditions in barter transactions

A = Could have Bid/Strike Price if Web Barter \$ are from Options or Government Bonds+C9 depending on terms and conditions in barter transactions

B = Could have Expiration Date is Web Barter \$ are from Options or Futures depending on terms and conditions in barter transactions

C = Could have Yield or Interest Rate if Web Barter \$ are from Bonds, Government

Fig. 9B